

THE **W. W. SMITH**

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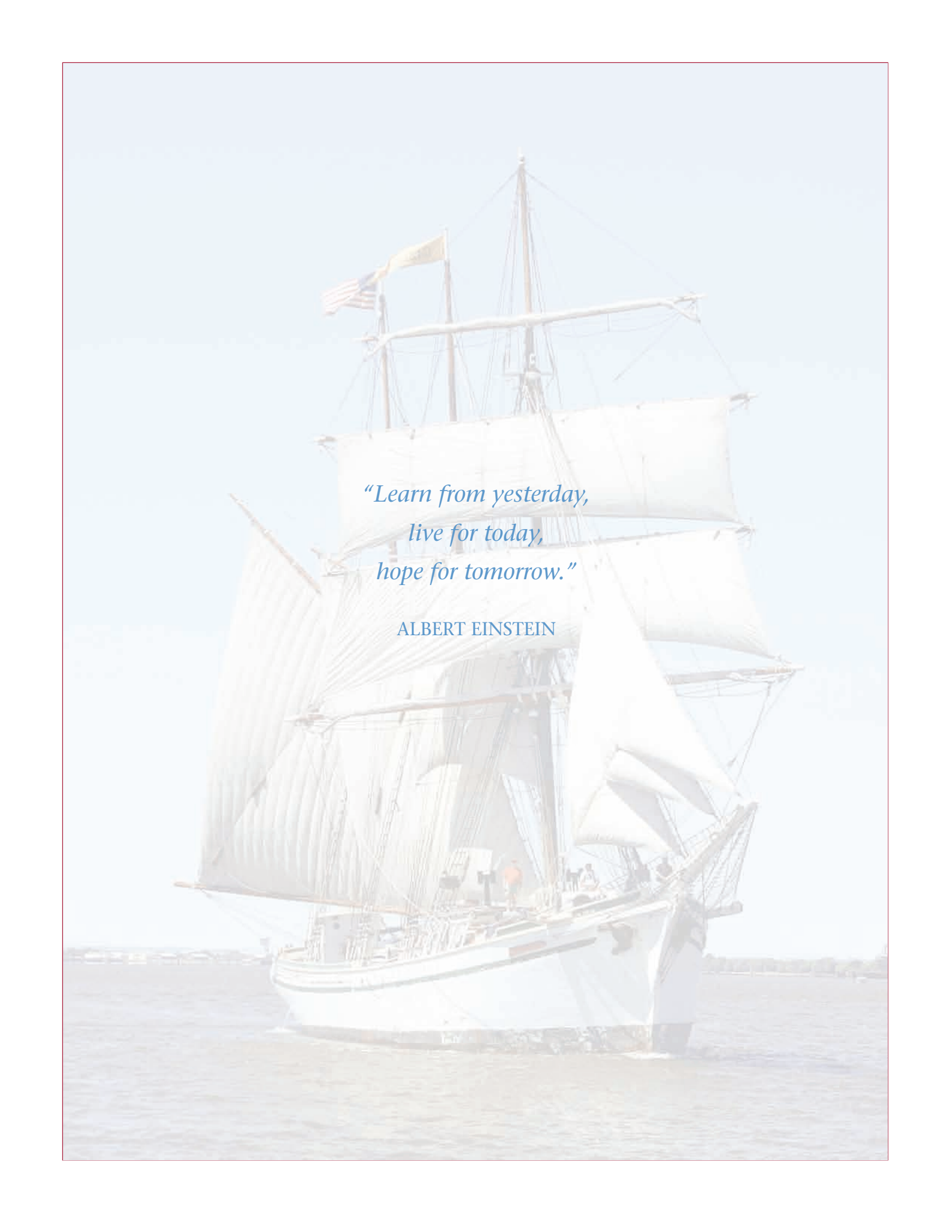
**CHARITABLE  
TRUST**

HELPING ORGANIZATIONS AND  
INDIVIDUALS ACHIEVE THEIR GOALS,  
HOPES AND DREAMS.

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**JULY 2009 – JUNE 2011**

**REPORT**



*“Learn from yesterday,  
live for today,  
hope for tomorrow.”*

ALBERT EINSTEIN

## THE W.W. SMITH CHARITABLE TRUST

200 Four Falls Corporate Center, Suite 300  
West Conshohocken, PA 19428

Telephone: 610-397-1844

Facsimile: 610-397-1680

Website: [www.wwsmithcharitabletrust.org](http://www.wwsmithcharitabletrust.org)

### TRUSTEES

Mary L. Smith

Wells Fargo Bank, N.A.

Reginald J. Middleton

Robert M. Chappellear

### STAFF

Louise A. Havens, Grant Administrator

Michelle P. Montgomery, Grant Administrator

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The Haverford Trust Company, George W. Connell

Charles B. Humpton, Jr. \*\*

Deborah J. McKenna

\* deceased, August 2011

\*\* deceased, June 2010

## IN MEMORIAM

Since the first working days of the W.W. Smith Charitable Trust, G. Morris (Morrie) Dorrance, Jr. was a trusted advisor and confidant. A long-serving Director of Kewanee Industries, Inc., Morrie was a good friend of Bill Smith. Because of their personal relationship, he well understood the mission of the Charitable Trust that Bill wanted to pursue and the goals he wanted to achieve. Morrie helped keep the Trust on Bill's course. Furthermore, throughout his 34 years as an advisor, Morrie enabled the Trust to function efficiently and effectively, calling upon resources under his control to "help out" when there was a need at the Trust.

One such resource, who also became a close friend, was Charles (Charlie) B. Humpton, Jr. Beginning with the early investments of the Trust, Charlie helped oversee the "money making" side of the operation, generating funds which were dispersed to thousands of organizations over the years and monies which were added to the Trust so it could grow. Thanks to Charlie's efforts, more people are being helped today than when the Trust started.



G. Morris Dorrance, Jr.



Charles B. Humpton, Jr.

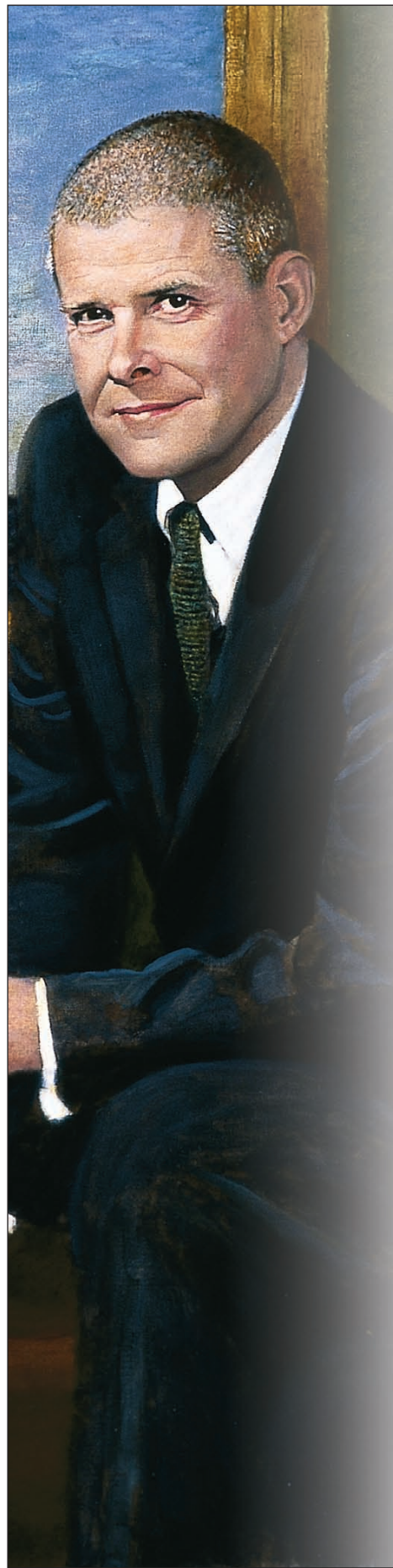
Both of these gentlemen were instrumental in the successful launch of the W.W. Smith Charitable Trust and for its continued eminence for the past three decades. To say they will be missed is an obvious understatement. They were great friends to the Trust and in that connection, benefactors to our community.



# THE W. W. SMITH CHARITABLE TRUST

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## WILLIAM WIKOFF SMITH

**H**aving accomplished much in his life, Bill Smith was one of those rare individuals who was destined to continue to achieve even after he passed away. During his life he built a Fortune 500 international corporation, Kewanee industries — which spanned the globe drilling for oil and gas, shipping petroleum and manufacturing specialty chemicals. After his untimely death, his philanthropic interests came “front and center.” Since 1977, the W.W. Smith Charitable Trust has disbursed significant sums to help thousands get better, become educated, find a home, receive help. Bill Smith was born in 1919 and his story continues — especially for those in our society who have been left behind and have needed a boost.

Some are born to lead. Bill Smith was one such person. His interests were varied from business, to sailing, to photography. But in each endeavor he was passionate about his “craft” and he consequently became expert in each. At the young age of 28, he assumed control of the oldest independent oil and gas company in the United States, Kewanee Oil Company, founded in 1871. Besides drilling for new reserves, Kewanee developed secondary oil recovery expertise in “waterflooding” — a process which injected water under high pressure in older oil fields to capture production which had been left behind after primary recovery techniques had been used. In Osage County, Oklahoma, after a particularly successful waterflood program had been instituted, dramatically increasing oil production from the area, Bill was inducted into the Osage Tribe of Indians as an Honorary Chief of the Deer Clan — a recognition about which he was especially proud. Seeking to balance the fortunes of Kewanee, Bill Smith and his management team diversified the company interests. Acquisitions followed, first in 1957 with the purchase of Mathiasen’s Tanker Industries Inc. Then in 1966, Kewanee bought The Harshaw Chemical Company of Cleveland, Ohio. In 1967, Sound Refining Inc. came on stream. Finally in 1975, Millmaster Onyx, another specialty chemical company, was acquired. Oil and gas production was always the “heart” of Kewanee. But over the decades the company successfully diversified its income stream, resulting in a well balanced industrial operation.

Bill’s other loves of sailing and photography were well documented. The *Gazela* of Philadelphia, known by thousands as an Ambassador for the City of Philadelphia, was purchased by Bill Smith in Portugal and sailed back to America (with him in crew) following Christopher Columbus’ route. He gave the ship to the Independence Seaport Museum, which in turn gave it to Philadelphia, after many years of stewardship. Photographic images of his life fill numerous frames and books. Bill was an avid and accomplished photographer. His photography revealed an interesting perspective of a multidimensional man.

Generous and caring, Bill Smith created the W.W. Smith Charitable Trust to help many who need aid. Since 1977, in excess of \$170 million has been distributed fulfilling his sense of being a responsible citizen and his desire to give unfortunate people hope.



## REVIEW OF THE PAST TWO FISCAL YEARS

The past two years have been challenging and motivating. The Trustees, advisors and staff staunchly reaffirmed the Trust's mission of ensuring basic human needs, supplementing higher education scholarships, and enabling basic medical research in the areas of heart disease, cancer and AIDS. The prolonged impact of the economic downturn resulted in demand for basic needs of food, clothing and shelter to remain at crisis levels. During this time of unprecedented community need, the Trust continued to place emphasis on providing a safety net through the Food, Clothing and Shelter Grants Program, with the focus being on direct provision of food, clothing and shelter emergencies. We recognize the courage, creativity, hard work, and effectiveness of the individuals who staff the institutions we support.

The financial holdings continued to be redefined, with the goal of maintaining the value of the portfolio with stable performing assets and safe holdings. The Trust, with the prudent counsel of expert outside advisors, has been fortunate to have the benefit of consistent and excellent stewardship at all levels. Grants of \$6,474,634 were approved during the past two fiscal years, July 2009 through June 2011, bringing total distributions made since 1977 to \$172,044,967.

Looking at the Food, Clothing and Shelter Program grants over the last two years has been a serendipitous discovery of organizations that have dedicated themselves to helping others. While funding was certainly granted to a few larger organizations, grants were more often to small non-profits that provide one-on-one services for needy children, families or the elderly. Most of the organizations have no claim to fame; they are just ordinary people serving and caring for their neighbors, sometimes in extraordinary ways.

Smith Scholars come from diverse backgrounds, but all have something in common – the skills, determination and leadership abilities to maintain the academic standards required to achieve their goals, to live their dreams. Today, as over the past two fiscal years, Smith Scholars are a thriving part of both their academic and neighborhood communities. Many of our Scholars have become dynamic influences in their professions and their communities.

Medical research continues to expand with each major new discovery and technical innovation. Basic medical research provides the starting point for developing solutions to health problems. For example, medical scientists working in translational research may use a basic discovery about how cancerous cells behave to develop a drug that will block this behavior or reduce its effects. Basic research can also give rise to new research tools and techniques, some of which have medical applications. The Trust is very proud to have funded leading researchers in conducting basic medical research at the forefront of some of the most innovative discoveries of the new century. Peter Nowell, M.D., a previous Smith Trust investigator, was awarded The Franklin Institute's prestigious 2010 Benjamin Franklin Medal in Life Science.

The Trustees wish to thank all of the organizations that submitted proposals during this time period, the members of the Trust's independent medical advisory committees, recipient organizations, the investment team, and the Trust staff.

## GRANTS APPROVED SUMMARY

AUGUST 1977 THROUGH JUNE 30, 2011

# OF GRANTS	GRANTS	GRANTS AMOUNT
180	Grants for Medical Research Heart Program	\$ 27,796,873
221	Grants for Medical Research Cancer Program	23,286,497
55	Grants for Medical Research AIDS Program	5,396,534
1,026	Grants for College Financial Aid Scholarship Program	50,736,100
358	Grants for College Financial Aid Prize Program	3,300,000
69	Grants for Sea Education Program	506,562
1,563	Grants for Food, Clothing and Shelter Program (includes regular and challenge grants)	37,645,917
304	Grants for Indigent Care Program	8,543,526
8	Grants for Juvenile Diabetes Program	1,705,972

**SUBTOTAL**

**3,784** **\$158,917,981**

# OF GRANTS	FELLOWSHIP AWARDS	GRANTS AMOUNT
14	Fellowship Awards	\$660,000

**SUBTOTAL**

**14** **\$660,000**

# OF GRANTS	SPECIAL GRANTS	GRANTS DATE	GRANTS AMOUNT
1	Bryn Mawr Hospital	(1/24/95)	\$ 25,000
1	Children's Hospital of Philadelphia	(3/6/01)	1,000,000
2	Fox Chase Cancer Center	(4/30/98)	1,500,000
		(6/11/99)	500,000
1	Independence Seaport Museum	(3/23/92)	500,000
1	Johns Hopkins University	(6/19/02)	2,000,000
1	Lankenau Hospital	(12/14/06)	1,500,000
1	Lankenau Medical Research	(12/23/04)	504,486
4	Philadelphia Ship Preservation Guild	(6/9/88)	50,000
		(10/4/90)	200,000
		(2/20/91)	12,500
		(6/19/02)	75,000
1	Thomas Jefferson University	(4/30/98)	1,500,000
2	University of Pennsylvania	(6/21/04)	2,000,000
	Mr. Humpton Memorial	(7/29/10)	100,000
1	Zoological Society of Philadelphia	(6/13/00)	1,000,000

**SUBTOTAL**

**16** **\$12,466,986**

**GRAND TOTAL**

**3,814 Grants** **\$172,044,967**



# GRANTS

MEDICAL RESEARCH

SCHOLARSHIP FINANCIAL AID PROGRAMS

FOOD, CLOTHING AND SHELTER  
FOR CHILDREN AND ELDERLY







## MEDICAL RESEARCH: HEART, CANCER AND AIDS

*"We believe medical research has fundamental benefits for all humans. Learning more about the causes and treatments of disease is critical to helping people live longer and healthier lives. It's an honor for us to provide young researchers with the seeds they need to start their research so they can carry it through into discoveries that will change the world."*

MRS. MARY L. SMITH

The Smith Trust awards are highly competitive. The selection process begins with the nomination by the scholar's institution of its top candidate. To further enhance the effectiveness of research grants, a distinguished medical advisory committee comprised of leading scientists guides the Trustees to fund projects that will hopefully have an immediate and direct influence on the fight against heart disease, cancer and AIDS. Smith Research Scholars

represent some of the very best scientists in the medical research arena today.

The example shared here provides insight into promising heart disease basic medical research funded by the W.W. Smith Charitable Trust:

Atherosclerosis is a disease of blood vessels which begins with damage to the cells that line all blood vessels, called endothelial cells. As the disease progresses, plaques form in the vessel wall which block the nutrient supply of blood to vital organs such as the heart and brain. While high cholesterol, smoking and diabetes are known factors that damage endothelial cells and induce plaque formation, many aspects related to the atherosclerosis remain unknown. Interestingly, plaques form in the curved and branching segments of the vasculature where the pattern of blood flow becomes turbulent. These observations indicate that hemodynamics may be an important factor in atherosclerosis.

The Rizzo laboratory at Temple University investigates the molecular mechanism utilized by the endothelial cells to sense and transmit particular patterns of blood flow into the cell, a process called mechanotransduction. Through their past efforts, this group made a fundamental discovery that small, invaginated areas on the surface of the endothelial cells, called caveolae or little caves, play a crucial role in the endothelial cells' ability to respond to changes in flow.

Just how these membrane microdomains participate in mechanotransduction is the subject of the grant awarded to Temple University by the W.W. Smith Charitable Trust for the research of Victor J. Rizzo, PhD. Preliminary studies indicate that caveolae regulate the flow sensing functions of a protein found on the endothelial cell surface called PECAM-1. The specific question that the



*Temple University School of Medicine received a 2009 Heart Disease Research grant for research of Rosario Scalia, Ph.D. titled "Primary Endothelial Protective Action of Incretins in Type-1 Diabetes."*



*The Trust funded this heart research project at Temple University School of Medicine, “Molecular Mechanism for Regulating Endothelial Cell Responses to Hemodynamic Forces Associated With Atherosclerosis” of Victor J. Rizzo, Ph.D., in December 2010.*

research will attempt to address is the relevance of caveolae/PECAM-1 interplay in mechanotransduction and its association to atherosclerosis. Long-range benefits derived from the experimental results of such studies would be the discovery of a new and more complete mechanism by which endothelial cells sense and respond to patterns of blood flow associated with cardiovascular disease. More importantly, therapeutic targets directed towards specific elements of a newly described caveolae/PECAM-1 pathway may be developed to limit the detrimental health effects of atherosclerosis and cardiovascular disease.

It is impossible to quantify exactly how much progress has been made through basic medical research. The Trust continues to hope for new scientific discovery and stands by its tradition to maintain its greatest investment in medical research.

**Fiscal Years 2009-2011**

**Medical Research Grants: 21**

**Low and High Dollar Amounts:  
\$71,000 to \$142,000**



*Basic medical researchers work at the cellular and molecular level to increase knowledge about processes in the body and other living organisms.*

## RESEARCH GRANT APPLICATION

Adhere to these application guidelines when requesting funds for medical research grants:

1. Download the password-protected application from the Trust's web site. The senior official for the research function at the applicant's institution should be able to obtain and provide the password. The Trust's application must be used.
2. Review and adhere to Trust Policies and Grant Application Guidelines on pages 21 and 22.
3. Grantees must divulge all outside sources of funding (current and pending), whether federal, state or private.
4. The Trust will allow and includes a maximum of 10 percent indirect costs or overhead in any grant proposal funded.
5. Requests for funds to support students, fellows and postdoctoral candidates must be supported by curriculum vitae for such individuals.
6. No funds are awarded solely for equipment. Employ the use of matching funds from the applicant's institution when support for equipment purchase is requested.
7. Duplicates of the W. W. Smith Trust grant proposal to other sources must be acknowledged within the W. W. Smith Trust application.
8. Contingent funding approvals may be made. i.e., Trust funds may be reserved until the status of duplicate or related grant proposals is known.
9. All applications must include a lay summary to inform the Trustees about the objectives of the research and its possible future applicability to a clinical setting.
10. Post-award lay-language narrative progress and detailed financial reports are required to be submitted at least every six months.
11. Applications must be for the work of individual investigators and not for the general work of research centers.
12. No more than one application per institution is to be submitted in each of the three research fields annually.
13. Every proposal must be submitted to the Trust with a letter of endorsement from the institution's senior official for the research function. Unendorsed protocols or proposals not following the Trust's research application form will not be considered.



*Research being performed in a lab at University of the Sciences in Philadelphia.*

## HEART AND CANCER RESEARCH

ORGANIZATION	PROJECT	GRANT AMOUNT	
		Fiscal Year 2009/2010	Fiscal Year 2010/2011
	<b>Heart Research</b>		
American Heart Association	"Notch in Developing, Adult and Induced Cardiac Progenitors"	\$100,000	\$100,000
Children's Hospital of Philadelphia	"NTRK3/TrkC in Congenital Heart Disease and Development"	—	100,000
Cooper University Hospital	"Complex Systems Analysis of Hemodynamics in Sepsis"	—	86,000
Coriell Institute for Medical Research	"Genetic Predictors of Coronary Artery Disease"	—	99,000
Johns Hopkins University	"Pathogenesis and Prevention of Myxomatous Valvular Disease"	—	100,000
Temple University	"Primary Endothelial Protective Action of Increatins in Type-1 Diabetes"	95,000	—
Temple University	"Molecular Mechanism for Regulating Endothelial Cell Responses to Hemodynamic Forces Associated With Atherosclerosis"	—	95,000
Thomas Jefferson University	"Function of Integrin Alpha 5 in Cardiac Development and Disease"	—	100,000
The Wistar Institute	"FAP: A Critical Protease in Cardiovascular Disease"	100,000	113,000
<b>TOTAL HEART RESEARCH</b>		<b>\$295,000</b>	<b>\$793,000</b>
	<b>Cancer Research</b>		
Children's Hospital of Philadelphia	"Engineered T Cell Therapy for High-Risk Neuroblastoma"	—	\$100,000
Lankenau Institute for Medical Research	"IDO2 in Tumor Development and Treatment"	—	98,000
Pennsylvania State University (Milton S. Hershey Medical Ctr.)	"Impact of iPS Cell-Derived MART1/Melan-A CTLs on Malignant Melanoma"	—	80,000
Thomas Jefferson University	"Utilizing the 'HuR-Binding ome' to Understand and Treat Cancer"	—	100,000
University of Pennsylvania	"An Innovative Model for the Development and Optimization of Powerful and Safe T Cell-Based Gene Therapy for Advanced Cancer"	142,000	—
University of Pennsylvania	"MicroRNA-Transcription Factor Networks Controlling Metastasis"	—	117,000
The Wistar Institute	"Regulation of Metastasis by a New Class of RNA Molecules"	—	\$100,000
<b>TOTAL CANCER RESEARCH</b>		<b>\$142,000</b>	<b>\$595,000</b>

## HEART AND CANCER RESEARCH

ORGANIZATION	PROJECT	GRANT AMOUNT	
		Fiscal Year 2009/2010	Fiscal Year 2010/2011
	<b>AIDS Research</b>		
Pennsylvania State University (Milton S. Hershey Medical Center)	“Role of HIV N-myristoylated-Gag in the Early Assembly of Infectious Viral Particles”	—	100,000
Temple University	“Interferon-Lambda: New Therapeutic Agent for HIV-1 Infection”	71,000	—
<b>TOTAL AIDS RESEARCH</b>		<b>\$71,000</b>	<b>\$100,000</b>
<b>FISCAL YEAR MEDICAL RESEARCH TOTALS</b>		<b>\$508,000</b>	<b>\$1,488,000</b>

### SPECIAL GRANTS

IN FOND MEMORY OF CHARLES B. HUMPTON, JR.

It is with great sadness that we mourn the death of Charles B. Humpton, Jr. He had been with the W. W. Smith Charitable Trust since its inception. Charlie helped contribute to establishing the Smith Trust and gave expert advice through the years. He was a loyal and dedicated advisor and will be greatly missed.

University of Pennsylvania	Memorial grant in memory of Charles B. Humpton, Jr. made to “The Charles B. Humpton, Jr. Endowed Fellowship in Diabetes Research”	\$100,000
<b>TOTAL SPECIAL GRANTS</b>		<b>\$100,000</b>

### FISCAL YEAR 2011-2012 SPECIAL GIFT

IN FOND MEMORY OF G. MORRIS DORRANCE, JR.

Life’s permanence lies in the influence left behind. G. Morris Dorrance, Jr. labored hard for those causes close to his heart. His prudent guidance and 34 year commitment as an advisor have left an indelible influence on the Trust. We were enriched by his leadership and he will be missed.

The Exuma Foundation	A special gift will be given in memory of G. Morris Dorrance, Jr. to help bring relief and hope to those needy individuals whom Morrie strove to help while serving on Exuma’s Board of Directors.	\$100,000 to be given in four equal annual installments, <i>beginning in Fiscal Year 2011/2012</i>
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# SCHOLARSHIP FINANCIAL AID PROGRAMS

The W. W. Smith Charitable Trust continues to sustain William Wikoff Smith's strong belief that education is a commitment to lifelong learning and personal growth. Through the scholarship financial aid program, the Trust reaches out to help students expand their opportunities for self-fulfillment.

Grants are made to full-time undergraduate students at MSCHE accredited colleges and universities located in Bucks, Chester, Delaware, Montgomery and Philadelphia counties. Scholarships are to supplement existing levels of aid for lower income and middle income students who would not otherwise be able to attend a university or college.

Scholars Program student recipients are chosen by the individual institutions based upon the Trust's guidelines. Students are required to be permanent residents of Bucks, Chester, Delaware, Montgomery or Philadelphia counties, or the City of Camden, New Jersey. Out-of-state students (with the exception of the City of Camden, New Jersey) who gain residency status due to university/college enrollment are not eligible for funding.

Smith Scholars have gone on to distinguished graduate schools and have excelled in their careers. The Trust can count among them: scientists, editors, teachers, lawyers, doctors, nurses, dancers, software developers as well as professionals in many other areas.

**Jessica G. Parenti Ortiz, CCMEP** (*W. W. Smith Scholar, 1994-1995 through 1997-1998*)

Jessica received her first scholarship from the Trust for her first semester. She has taught middle school and authored three books, one of which is an autobiographical novel chronicling her journey. "I enrolled in West Chester University in 1994 as an education major.

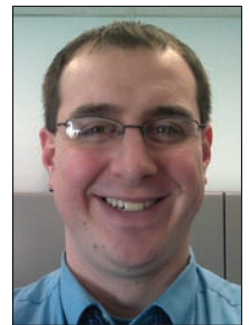


*Jessica G. Parenti Ortiz, CCMEP and son*

I received scholarships each semester from W.W. Smith, which enabled me to complete my degree in four years. I have been gainfully employed ever since graduation and I am now the Vice President of a medical education company. Twelve years after graduating from college, I am currently in the process of enrolling my own son into college. It is exciting to see the new generation forging ahead."

**Timothy Bowers, MT** (*ASCP) MHP CIC (W. W. Smith Scholar, 2001-2002)*

When medical technology major Timothy Bowers received the news of his scholarship, he was preparing for his senior year, which would take the form of a clerkship in Florida. "Getting that scholarship helped me focus full-time on the clerkship, not financial aid," he recalled. "It came at a critical time." The scholarship laid the groundwork for his career success and continued involvement with his alma mater, the University of the Sciences in Philadelphia, where he earned his master's





*Philadelphia University students share a moment between classes.*

degree in health policy and served on the board of directors of the Alumni Association. Timothy has worked as an Infection Control Practitioner at Penn Presbyterian Medical Center and is currently the Director of Infection Prevention and Control at South Jersey Healthcare, a charitable nonprofit healthcare organization in which hospitals and doctors work together to provide quality care to the community.

The wisdom and importance of accumulated knowledge continues to be clear with each passing year. The Trustees remain committed to and proud of the Smith Scholars.

**Fiscal Years 2009-2011**  
**Scholarship Financial Aid Grants: 58**  
**Low and High Dollar Amounts:**  
**\$20,000 to \$111,000**



*The late Drexel University president, Constantine Papadakis, Ph.D., presented Mrs. Smith with an award recognizing the Smith Trust. A long-time beloved president of Drexel University, Dr. Papadakis passed away in 2009.*

## SCHOLARSHIP FINANCIAL AID PROGRAM

ORGANIZATION	GRANT AMOUNT	
	Fiscal Year 2009/2010 (2010/2011 Academic Year)	Fiscal Year 2010/2011 (2011/2012 Academic Year)
Arcadia University	\$ 41,500	\$ 43,500
Bryn Mawr College	20,000	25,000
Cabrini College	39,500	42,000
Chestnut Hill College	36,500	39,500
Delaware Valley College	37,500	41,000
Drexel University	70,500	78,500
Eastern University	36,500	40,000
Gwynedd-Mercy College	36,500	40,500
Haverford College	20,000	25,000
Holy Family University	36,500	37,500
Immaculata University	37,500	38,500
LaSalle University	46,500	49,500
Lincoln University	29,500	30,000
Moore College of Art & Design	32,500	37,500
Neumann University	37,500	39,500
Peirce College	25,500	27,500
Penn State Brandywine	27,500	29,500
Philadelphia Biblical University	32,500	36,500
Philadelphia University	42,500	43,500
Rosemont College	34,500	37,500
St. Joseph's University	49,500	53,000
Temple University	82,000	111,000
University of Pennsylvania	20,000	25,000
University of the Arts	38,500	41,500
University of the Sciences in Philadelphia	43,500	45,500
Ursinus College	42,500	44,500
Villanova University	53,500	55,500
West Chester University	40,000	51,000
Widener University	45,500	44,500
<b>FISCAL YEAR TOTALS</b>	<b>\$1,136,000</b>	<b>\$1,253,500</b>





## FOOD, CLOTHING AND SHELTER FOR CHILDREN AND THE ELDERLY

The Trustees believe that meeting the challenges of both young and old through the direct provision of food, clothing and shelter, which are the basic necessities required to sustain life, will help combat the disabling forces that can bring despair, helplessness and destruction to our communities.

For more than three decades, the Trust has funded projects and programs of non-profit organizations from the five-county area and the City of Camden, New Jersey. The extent and severity of addressing human basic needs have increased in recent years. With this intensified need, we look to fund organizations that offer smart, fiscally sound, and dynamic approaches to solving ever-growing community problems.

The stories shared here provide insight into how W. W. Smith Charitable Trust grantees apply

their creativity and commitment to make a better life for children, families and the elderly.

With countless people out of work or underemployed due to the economic turbulence of past two years, **Nutritional Development Services** has experienced an increase in the number of clients seeking food not only at their food cupboards in Philadelphia, but also in the suburban areas of Bucks, Montgomery and Delaware counties. Despite the substantial increase in clients, they have not had to turn down any food cupboard when they were called with a specific need or a request for more food. Through these challenging times, the organization has purchased food for 26 food cupboards that receive approximately 1,000 pounds of food each month. They have provided those who come to their food cupboards with nutritious food that can be used as complete meals, such as pancake syrup and pancake mix for breakfast or spaghetti and



*Under the Nutritional Development Services program, students from Holy Ghost Prep in Bensalem help Sister Jean of the St. Francis de Sales Food Cupboard in Southwest Philadelphia.*



*At Philadelphia Protestant Home, the Trust's funds were used to purchase two Waverly Glen mechanical floor lifts. Left to right are CEO and President Anthony Manzo, Reverend Dr. Deborah Winters, Chairperson of the Board Eileen Bratton, Director of Nursing, and certified Nursing Assistant Sibi Joseph.*

spaghetti sauce for dinner, and not just a random assortment of canned goods. The W. W. Smith Charitable Trust is proud to have provided funds to help Nutritional Development Services meet the ever changing needs of the community since 1978.

**Pathways Healthcare and Rehabilitation Center** at The Philadelphia Protestant Home is home to 126 mainly elderly individuals who require 24-hour skilled nursing care and who have significant deficiencies with activities of daily living. Approximately 78 of these residents require the assistance of mechanical lifts for transfer in and out of bed, positioning for bathing, and on and off the toilet. By using lifts, transfer is more comfortable for patients, and the incidences of workplace injury to care-giving staff decrease. Their old lifts had been repaired as much as possible and were in dire need of replacement. By not having the necessary equipment, more demands would continually be placed on the staff providing daily care. The Philadelphia Protestant Home needed to purchase two new Waverly Glen mechanical floor lifts to replace the 12-year old lifts they were currently using.

The Trustees remain firmly committed to the mission of this program: to provide support to the



*Students from St. Martin de Porres in North Philadelphia help with the food cupboard.*

organizations that are always there, even in the worst of times, working with the children, families and the elderly who need them the most.

**Fiscal Years 2009-2011**  
**Food, Clothing and Shelter Grants: 98**  
**Low and High Dollar Amounts:**  
**\$5,000 to \$50,000**

## FOOD, CLOTHING AND SHELTER FOR CHILDREN AND ELDERLY

ORGANIZATION	Food, Clothing & Shelter Grant Area	GRANT AMOUNT	
		Fiscal Year 2009/2010	Fiscal Year 2010/2011
Aid for Friends	Food	—	\$ 30,000
AIDS Coalition of Southern New Jersey, Inc.	Food	\$ 28,000	—
American Red Cross, Lower Bucks County Chapter	Food	—	13,000
The Baptist Home of Philadelphia	Food/Clothing	6,000	—
Barclay Friends, Inc.	Shelter	—	31,000
BCS YES!	Clothing	18,000	—
BEBASHI, Inc.	Food	6,000	—
Bernardine Center	Food	13,000	—
Bethesda Project	Food/Clothing	15,000	25,000
The Blind Relief Fund of Philadelphia	Food/Clothing/Shelter	—	15,000
The Bridge	Food	—	21,500
Bridge of Hope	Shelter	10,000	—
Broad Street Ministry	Food	—	9,000
Camden Area Health Education Center (AHEC)	Food/Clothing/Shelter	15,000	—
Camilla Hall Nursing Home (Sisters, Servants of the Immaculate Heart of Mary)	Food	25,000	—
Camphill Special School	Shelter	—	8,000
The Career Wardrobe	Clothing	5,000	—
CARIE (Center for Advocacy for the Rights and Interests of the Elderly)	Shelter	—	7,000
Carson Valley Children's Aid	Food/Clothing/Shelter	—	17,000
Chester County Food Bank	Food	—	50,000
Child Abuse Prevention Effort	Food/Clothing/Shelter	15,000	—
The Community Coalition	Food/Clothing/Shelter	35,000	—
Cradles to Crayons	Clothing	15,000	—
The Devereux Foundation	Food/Clothing	15,000	21,000
The Domestic Violence Center of Chester County	Food/Shelter	7,000	—
Drueding Center	Food/Clothing	—	20,000
Elwyn	Shelter	—	20,000
Encore Experiences at Harleysville (S.A.A.C. of the Harleysville Area)	Food	9,000	10,000
Family Support Services, Inc.	Food	—	15,000
Feast of Justice (St. John's Evangelical Lutheran Church)	Food	30,000	—
The Food Trust	Food	—	25,000

## FOOD, CLOTHING AND SHELTER FOR CHILDREN AND ELDERLY

ORGANIZATION	Food, Clothing & Shelter Grant Area	GRANT AMOUNT	
		Fiscal Year 2009/2010	Fiscal Year 2010/2011
Friendship House	Food/Clothing/Shelter	\$ 28,000	\$ 35,400
Golden Slipper Center for Seniors	Food	15,000	20,000
Good Works, Inc.	Shelter	26,000	30,000
Intercommunity Action, Inc.	Food	15,000	20,000
Interfaith Housing Development Corporation of Bucks County	Shelter	—	18,000
Jewish Family and Children's Services	Food/Shelter	—	40,000
Keystone Hospice	Food/Shelter	—	30,000
Laurel House	Food/Clothing/Shelter	10,000	15,000
Lutheran Children and Family Service	Food/Shelter	—	12,000
Maternity Care Coalition	Food/Clothing/Shelter	—	40,000
MANNA	Food	40,000	40,000
Mercy Hospice (Catholic Social Services)	Food/Shelter	23,000	—
National Multiple Sclerosis Society Greater Delaware Valley Chapter	Food/Clothing/Shelter	—	20,000
NGA, Inc.	Clothing	—	5,000
Norristown Ministries, Inc. Hospitality Center	Food	—	7,600
North Light Community Center	Food/Clothing/Shelter	18,000	—
Northwest Philadelphia Interfaith Hospitality Network	Food/Clothing/Shelter	11,000	—
Nutritional Development Services	Food	25,000	50,000
One House at a Time	Shelter	—	15,000
The Other Carpenter	Shelter	20,000	—
Overington House Inc.	Food	15,000	—
PEAK Center	Food	—	14,000
People's Emergency Center	Shelter	—	40,000
The Philadelphia Protestant Home	Shelter	—	14,000
Philadelphia Senior Center, Inc.	Food	30,000	—
The Pottstown Cluster of Religious Communities	Food/Clothing/Shelter	15,000	—
Presby's Inspired Life	Food	—	32,047
Presbyterian Children's Village Services	Food/Shelter	35,000	—
Rebuilding Together Philadelphia	Shelter	26,000	30,000
Royer-Greaves School for Blind	Shelter	—	22,000
SafeHome Philadelphia (Philadelphia Committee to END Homelessness)	Shelter	20,000	—
St. Ignatius Nursing Home	Shelter	40,000	50,000
St. John's Hospice (Catholic Social Services)	Food/Clothing	—	36,000
Saint Joseph Villa	Food	30,000	—

## FOOD, CLOTHING AND SHELTER FOR CHILDREN AND ELDERLY

### ORGANIZATION

### GRANT AMOUNT

	Food, Clothing & Shelter Grant Area	Fiscal Year 2009/2010	Fiscal Year 2010/2011
St. Mary's Franciscan Shelter for Families	Shelter	—	\$ 8,000
St. Mary's Residence	Food	—	20,000
Saint Peter's Episcopal Church	Food	—	8,500
Senior Adult Activity Center of the Phoenixville Area	Food	\$ 5,000	5,000
Senior Community Services	Food	—	20,000
SHARE Food Program, Inc.	Food	22,000	22,000
Silver Springs - Martin Luther School	Food/Clothing	20,000	35,000
Tabor Children's Services, Inc.	Food/Clothing/Shelter	18,000	—
Travelers Aid Society of Philadelphia	Food/Clothing/Shelter	6,087	—
Utility Emergency Services Fund	Shelter	—	18,000
Volunteers of America Delaware Valley, Inc.	Shelter	14,000	—
The Wentworth Home	Food	—	18,000
West Chester Area Senior Center	Food	5,000	10,000
Wings for Success	Clothing	9,000	12,000
Women Against Abuse, Inc.	Food	18,000	—
Woodland Community Development Corporation	Food	5,000	—
Youth Service, Inc.	Food/Shelter	—	30,000

### FISCAL YEAR TOTALS

**\$831,087**

**\$1,158,047**



*Volunteers at Cradles to Crayons help prepare clothing for children living in poverty in the five-county Philadelphia region.*



*The Food Trust provides Philly Food Bucks for low-income residents to use at local farmers' markets, increasing their access to fresh, healthy, affordable food.*

The W. W. Smith Charitable Trust is a private foundation that grants only for three specific purposes: medical research in heart disease, cancer and AIDS; financial aid for full-time undergraduate students; and food, clothing and shelter for children, families and the elderly. Almost always, grants are limited to organizations located within the Pennsylvania counties of Bucks, Chester, Delaware, Montgomery and Philadelphia as well as the City of Camden, New Jersey.

Grants are made only to tax-exempt organizations not classified as private foundations or private operating foundations within the terms of the Tax Reform Act of 1969. Evidence is required from every applicant that the Internal Revenue Service currently approves of the organization's exempt status under Code Section 501(c)(3) or its analogues, and designates the proposing organization as not being a private foundation under section 509.

Grants are available to organizations with proven or prudently predictable records of performance, never directly to individuals. Capital projects and special programs may be funded (see separate program guidelines), but requests for deficit financing are not considered.

The Trust accepts and processes applications for grants throughout the year. All program areas have proposal deadlines; please see page 24 for the deadlines for specific grant purposes. Personal visits prior to the submission of a written proposal are discouraged. Please telephone the Trust's office to ask questions prior to preparing a full proposal.

All proposals are carefully reviewed. If a request falls within the framework of Trust's guidelines and present priorities, more detailed information may be requested and a site visit initiated by Trust staff.

Final decisions regarding qualified proposals and all grant terms are made by the Trustees. Proposal endorsement letters are discouraged.

Grantees are encouraged to announce information to the news media concerning their grants. Proposed copy must be reviewed and approved by the Trust in advance of release. Commemoratives are not expected.

No part of any grant may be subjected to a fundraiser's commission. Proposals will not be considered for development officer capacity-building.

The Trust budgets no funds to purchase meal tables, program advertisements, golf tournament sponsorships, organizational memberships, or to support analogous fundraising events.

Prompt repayment to the Trust of grant funds unused within the period specified in the Trust's award notification letter is expected. Interest earned on Trust funds during any such time interval may not be retained by any grantee.

Except for medical research protocols (see page 10), no extra copies of proposals are needed.

The W. W. Smith Charitable Trust does not discriminate as to race, creed, religion, color, gender, national origin, age, disability, marital status, sexual orientation or status with regard to grant distribution.

As with any prospective grant concept, a letter or phone call of inquiry prior to preparing a full proposal is always appropriate.

Normal business hours are 8:30 a.m. to 5:00 p.m., Monday through Friday: phone 610-397-1844.

## PROGRAM AREAS

Proposals will be considered only for the following purposes:

1. Specific, basic medical research projects dealing with heart disease, cancer or AIDS.
2. By invitation only: MSCHE accredited four-year universities' and colleges' financial aid programs for needy, worthy, full-time undergraduate students (No request ever is accepted directly from an individual student.)
3. To provide food, clothing or shelter for children ages 18 or under (including needy families with dependent children) or the elderly ages 60 and above.

The Trustees endeavor to keep abreast of the needs and conditions in the area served by the Trust and variations from these policies may be made at their discretion.

## HEART DISEASE, CANCER AND AIDS RESEARCH

See page 10 for specialized guidelines and requirements.

In order to give the Trust's independent advisory committees time to review applications, it is required that grant proposals be received no later than June 15 for cancer and AIDS research and no later than September 15 for heart research. When these dates fall on holidays or weekends, the deadline for proposal submission is 5 p.m. on the last business day before the holiday or weekend.

No more than one application per institution may be submitted in each of the three research fields annually. Each application must be submitted in quadruplicate and accompanied by a copy of the most recent audited financial statements as well as a complete copy of the current 990 for the researcher's institution.

Every proposal must be submitted to the Trust with a letter of endorsement from the institution's senior official for the research function. Unendorsed protocols or proposals not following the Trust's research application form will not be considered.

Projects submitted for consideration should be for one year only. It is Trust policy that proposals not request funding for equipment alone. Copies of all published material relevant to a proposed research project should be made available to the Trust both before and after a grant is made.

Unused grant funds must be returned to the Trust promptly so that the funds may be put toward another worthwhile research request.

## COLLEGE FINANCIAL AID PROGRAMS

Proposals for grants to MSCHE accredited colleges and universities are by invitation only and must be received by April 1. Funding will be awarded at the May Trustees meeting.

Institutions are required to screen financial aid applicants and award the Trust's scholarship funds only to full-time undergraduate students who are in good academic standing and are considered most needy and worthy. Selected students are required to be permanent residents of Bucks, Chester, Delaware, Montgomery and Philadelphia counties or the City of Camden, New Jersey. Out-of-state students (with the exception of the City

of Camden, New Jersey) who gain residency status due to college enrollment are not eligible for funding. Proposals should detail the selection process used to identify qualified students whose financial needs are not being met by existing policies.

Each Smith Scholar, Prize-Winner and SEA participant is required to write the Trustees a thank you letter.

Recipient institutions are visited once every two years by the Trust staff. Grant funds can not be used for endowment, equipment, construction, capital campaigns, annual giving or other purposes.

## FOOD, CLOTHING AND SHELTER FOR CHILDREN AND THE ELDERLY

Requests for grants for food, clothing or shelter for children and the elderly from non-profits located in Bucks, Chester, Delaware, Montgomery and Philadelphia counties or the City of Camden, New Jersey are considered at the March and September Trustees meetings. In order to give the Trust's grant administrator time to review proposals and schedule site visits, it is required that grant proposals be received no later than December 15 for the March meeting and no later than June 15 for the September meeting. When these dates fall on holidays or weekends, the deadline for proposal submission is 5 p.m. on the last business day before the holiday or weekend.

The Trust's project summary sheet, which is available online or from the Trust's office, must accompany the proposal and be completed in full, including the requested amount, request summary, description and justification of the proposed project, etc. The following enclosures are required to be submitted with all proposals:

- The W. W. Smith Charitable Trust project summary sheet
- Project budget and timetable
- Current fiscal year operating budget for the organization
- List of top sources of funding in the last fiscal year for the organization and amounts
- Current Board list, including members' employment affiliations, constituencies and years of service
- Current IRS tax determination letter. If you are classified as a supporting (or supported) organization 509(a)(3), please contact the Trust's office for additional information.
- The most recent IRS Form 990. If too small to file form 990, telephone the Trust for assistance.

A site visit is mandatory after all required documentation is received. Please plan ahead and apply early.

Applications should be for no less than \$5,000. Food, clothing and shelter grant requests will be funded for one year only. Funding to an organization will be made for only three consecutive calendar years, after which at least two consecutive calendar years must pass before another application can be considered. Applicants are limited to one proposal at a time and one grant within any twelve month period.

No proposals will be considered for retroactive funding of non-emergencies.

Satisfactory post-grant narrative and financial reports are prerequisites to any future proposal consideration.

As a general rule, the further away a request is from direct provision of literal food, clothing or shelter, the less likely funding will be granted. This normally excludes consideration of requests from summer camps, YMCAs, YWCAs, adult daycare and child daycare programs. New housing development is not considered. Proposals for vans or transportation funds are not accepted. The Trust does not fund requests for general operating expenses.



## ANNUAL GRANTMAKING SCHEDULE

PROGRAM AREA	APPLICATION DEADLINE	TRUSTEES MEETING
Food, Clothing and Shelter	December 15 June 15	March September
College Financial Aid	April 1	May
Cancer and AIDS Research	June 15	September
Heart Research	September 15	December

All applicants will be notified by the Trust, in writing, within 30 days after the relevant Trustees meeting. It is not necessary to telephone the Trust unless there is an important change in your organization's circumstances or you do not receive a letter within this time period. Generally, grants are paid within 60 days of the Trust's receipt of the recipient organization's signed award notification letter.



*Trust funds supported Rebuilding Together Philadelphia, a non-profit organization that rehabilitates the homes of low-income families, the elderly, and those with disabilities. Simple repair problems, left unattended due to lack of resources, escalate into larger problems that expose homeowners and their families to health and safety risks. Rebuilding Together Philadelphia brings volunteers and communities together to improve the homes and lives of homeowners in need.*

